

DEMO QUESTIONNAIRE

Property Information	
<b>Name:</b>	
<b>Address:</b>	
<b>Phone Number:</b>	
<b>Website:</b>	

Main Contact	
<b>Name:</b>	
<b>Title:</b>	
<b>Email Address:</b>	
<b>Phone Number:</b>	
<b>Town/City/State:</b>	

Types of Gaming	
<b>Types of Gaming, i.e. slots, table games, poker, etc.:</b>	

**Program Capacity Information**

1. How many employees/staff will be required to undergo Title 31 training?  
Currently, what is the total # of employees present at the property?
  
2. How often would you like your employees/staff to undergo training?

## Appendix A

### LESSON 1: INTRODUCTION AND HISTORY OF TITLE 31

**Designed for all employees of the gaming operation.** This lesson covers the following topics:

1. Money laundering basics and stages;
2. What is Bank Secrecy Act (BSA);
3. Who Is FinCen and what are their responsibilities and;
4. Brief history of the laws and regulations related to Title 31

### LESSON 2: SUSPICIOUS ACTIVITY REPORTING

**Designed for all employees of the gaming operation.** This lesson covers the following topics:

1. Title 31 related suspicious activities;
2. Identifying suspicious transactions and;
3. Suspicious activity reports (SAR), including evaluating and filing a SAR

### LESSON 3: CURRENCY TRANSACTION REPORTING

**Designed for Cage/Cash Operations/Table Games/Revenue Audit and other cash related departments.** This lesson covers the following topics:

1. What is Currency Transaction Reports (CTR) and reportable transactions;
2. Multiple transaction logs (MTL) and;
3. Filing a CTR.

### LESSON 4: DUE DILIGENCE-MANAGEMENT AND COMPLIANCE TEAM TRAINING

**Designed for Compliance department and executive level management and departments.** This lesson covers the following topics:

1. Due Diligence procedures;
2. History of Office of Foreign Asset Control (OFAC) and related compliance;
3. Politically Exposed Persons (PEP) and;
4. High level Source of Funds procedures.